



Registered Office: 401/A, Pearl Arcade, Opp. P. K. Jewellers, Dawood Baug Lane,
Off J. P. Road, Andheri (W), Mumbai – 400058
Tel.: 022- 26778155 Fax: 26781187 CIN: L01407MH1988PLC049645
Email: nouveauglobal@gmail.com Website: www.nouveauglobal.com

ANNUAL RETURN

Form No. MGT-9

As on the financial year ended 31st March, 2021

**[Pursuant to section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies
(Management and Administration) Rules, 2014]**

I. REGISTRATION AND OTHER DETAILS:

| | |
|---|--|
| i) CIN:- | L01407MH1988PLC049645 |
| ii) Registration Date | November 16, 1988 |
| iii) Name of the Company | Nouveau Global Ventures Limited |
| iv) Category / Sub-Category of the Company | Company Limited by shares Indian Non- Government Company |
| v) Address of the Registered office and contact details | 401/A, Pearl Arcade, Daut Baug Lane, Off. J.P. Road, Opp P.K. Jewellers, Andheri (W), Mumbai- 400058 Tel: 022-26778155 / 26790471 Email: nouveauglobal@gmail.com Website: www.nouveauglobal.com |
| vi) Whether listed company Yes / No | Yes, listed at Bombay Stock Exchange |
| vii) Name, Address and Contact details of Registrar and Transfer Agent, if any | Bigshare Services Private Limited 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai-400059 Tel: 022-626382000 Email id.: info@bigshareonline.com Website: http://www.bigshareonline.com |

| | | | | | | | | | |
|---|---------|--------|---------|-------|---------|--------|---------|-------|---------|
| Venture Capital Funds | - | - | - | - | - | - | - | - | 0.00 |
| Insurance Companies | - | - | - | - | - | - | - | - | 0.00 |
| FII's | - | - | - | - | - | - | - | - | 0.00 |
| Foreign Venture Capital Funds | - | - | - | - | - | - | - | - | 0.00 |
| Others (specify) | - | - | - | - | - | - | - | - | 0.00 |
| SubTotal(B)(1) | - | - | - | - | - | - | - | - | 0.00 |
| | | | | | | | | | |
| 2. Non-Institutions | | | | | | | | | |
| a) Bodies Corp. | 8687464 | 8300 | 8695764 | 46.87 | 8691261 | 8300 | 8699561 | 46.89 | 0.02 |
| b) Individuals | | | | | | | | | |
| i) Individual Shareholders holding nominal share capital upto Rs. 1 lakh | 391158 | 130386 | 521544 | 2.81 | 389316 | 130386 | 519702 | 2.80 | (0.01) |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1lakh | 1788742 | 169400 | 1958142 | 10.55 | 1788732 | 169400 | 1958132 | 10.55 | (0.00) |
| c) Qualified Foreign Investor | - | - | - | - | - | - | - | - | - |
| d) Others (Specify) | - | - | - | - | - | - | - | - | - |
| i)Hindu Undivided Family | 550867 | 0 | 550867 | 2.97 | 550955 | 0 | 550955 | 2.97 | 0.00 |
| ii) Trusts | - | - | - | - | | | | | |
| iii) Clearing Member | 2143 | - | 2143 | 0.01 | 110 | 0 | 110 | 0.00 | (0.01) |

| | | | | | | | | | |
|--|-----------------|---------------|-----------------|---------------|-----------------|---------------|-----------------|---------------|-------------|
| iv) Non-Resident Indian (NRI) | 0 | 300 | 300 | 0.00 | 0 | 300 | 300 | 0.00 | 0.00 |
| i) Non-Resident Indian (REPAT) | 610 | 0 | 610 | 0.00 | 610 | 0 | 610 | 0.00 | 0.00 |
| ii) Non-Resident Indian (NON REPAT) | 20831 | 0 | 20831 | 0.11 | 20831 | 0 | 20831 | 0.11 | 0.00 |
| iii) Directors Relatives | - | - | - | - | - | - | - | - | - |
| iv) Employee | - | - | - | - | - | - | - | - | - |
| v) Overseas Bodies Corporates | - | - | - | - | - | - | - | - | - |
| vi) NBFC | - | - | - | - | - | - | - | - | - |
| vii) Unclaimed Suspense Account | - | - | - | - | - | - | - | - | - |
| viii) IEPF | - | - | - | - | - | - | - | - | - |
| | - | - | - | - | - | - | - | - | - |
| Sub Total(B)(2) | 11441815 | 308386 | 11750201 | 63.33 | 11441815 | 308386 | 11750201 | 63.33 | 0.00 |
| Total Public Shareholding (B)=(B)(1)+(B)(2) | 11441815 | 308386 | 11750201 | 63.33 | 11441815 | 308386 | 11750201 | 63.33 | 0.00 |
| C. Shares held by Custodian for GDRs & ADRs | - | - | - | - | - | - | - | - | - |
| Grand Total (A+B+C) | 18244614 | 308386 | 18553000 | 100.00 | 18244614 | 308386 | 18553000 | 100.00 | 0.00 |

(ii) Shareholding of Promoters

| Sl. No. | Shareholder's Name | Shareholding at the beginning of the year (1 st April, 2020) | | | Shareholding at the end of the year (31 st March, 2021) | | | % Change in share holding during the Year |
|---------|---|---|----------------------------------|--|---|----------------------------------|--|---|
| | | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | No. of Shares | % of total Shares of the company | % of Shares Pledged / encumbered to total shares | |
| 1. | Kasturi Overseas Pvt Ltd | 13,72,600 | 7.40 | - | 13,72,600 | 7.40 | - | 0.00 |
| 2. | Navyug Telefilms Private Limited | 13,38,100 | 7.21 | - | 13,38,100 | 7.21 | - | 0.00 |
| 3. | Krishan Khadaria | 12,78,519 | 6.89 | - | 12,78,519 | 6.89 | - | 0.00 |
| 4. | Golden Meadows Export Pvt. Ltd. | 9,00,000 | 4.85 | - | 9,00,000 | 4.85 | - | 0.00 |
| 5. | Asha Khadaria | 7,28,580 | 3.93 | - | 7,28,580 | 3.93 | - | 0.00 |
| 6. | Attribute Shares & Securities Pvt. Ltd. | 6,56,654 | 3.54 | - | 6,56,654 | 3.54 | - | 0.00 |
| 7. | Mumbadevi Finance Investment Company Pvt. Ltd. | 3,80,000 | 2.05 | - | 3,80,000 | 2.05 | - | 0.00 |
| 8. | Forever Flourshing Finance & Investment Pvt. Ltd. | 77,154 | 0.42 | - | 77,154 | 0.42 | - | 0.00 |
| 9. | Kashish Multi Trade Pvt. Ltd. | 56,692 | 0.31 | - | 56,692 | 0.31 | - | 0.00 |
| 10. | Mohit Khadaria | 7,000 | 0.04 | - | 7,000 | 0.04 | - | 0.00 |

| | | | | | | | | |
|-----|--|------------------|--------------|---|------------------|--------------|---|-------------|
| 11. | Sushila Devi Raghunath Prasad Khadaria | 5,000 | 0.03 | - | 5,000 | 0.03 | - | 0.00 |
| 12. | Mohini Krishan Khadaria | 2,500 | 0.01 | - | 2,500 | 0.01 | - | 0.00 |
| | | 68,02,799 | 36.67 | - | 68,02,799 | 36.67 | - | 0.00 |

(iii)Change in Promoters' Shareholding (please specify, if there is no change):-
During the year under review, no change has occurred in the Promoters' Shareholding.

| Sl. No. | Name of the promoter | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|---------|---|---|----------------------------------|---|----------------------------------|
| | | No. of Shares | % of total Shares of the company | No. of Shares | % of total Shares of the company |
| 1. | Kasturi Overseas Pvt Ltd | 13,72,600 | 7.40 | 13,72,600 | 7.40 |
| 2. | Navyug Telefilms Private Limited | 13,38,100 | 7.21 | 13,38,100 | 7.21 |
| 3. | Krishan Khadaria | 12,78,519 | 6.89 | 12,78,519 | 6.89 |
| 4. | Golden Medows Export Private Limited | 9,00,000 | 4.85 | 9,00,000 | 4.85 |
| 5. | Asha Khadaria | 7,28,580 | 3.93 | 7,28,580 | 3.93 |
| 6. | Attribute Shares and Securities Pvt. Ltd | 6,56,654 | 3.54 | 6,56,654 | 3.54 |
| 7. | Mumbadevi Finance Investment Company Pvt. Ltd. | 3,80,000 | 2.05 | 3,80,000 | 2.05 |
| 8. | Forever Flourishing Finance & Investment Pvt. Ltd | 77,154 | 0.42 | 77,154 | 0.42 |
| 9. | Kashish Multi Trade Private Limited | 56,692 | 0.31 | 56,692 | 0.31 |
| 10. | Mohit Khadaria | 7,000 | 0.04 | 7,000 | 0.04 |
| 11. | Sushila Devi Raghunath Prasad Khadaria | 5,000 | 0.03 | 5,000 | 0.03 |
| 12. | Mohini Krishan Khadaria | 2,500 | 0.01 | 2,500 | 0.01 |

(iv) Shareholding Pattern of Top Ten-Shareholders (Other than Directors, Promoters and Holders of GDRS and ADRS):

| | | Shareholding at the beginning of the year | | | | | Cumulative Shareholding during the year / end of the period | |
|--------|--------------------------------------|---|----------------------------------|------------------|-----------------------------------|-----------|---|------------------------------|
| Sr. No | Name | No. of Shares | % of total shares of the Company | Date | Increase/Decrease in shareholding | Reason | Number of Shares | % of total shares of the Co. |
| 1 | Nirnidhi Consultant Pvt. Ltd. | 1043129 | 5.62 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 1043129 | 5.62 |
| | | | | 31-Mar-21 | | | 1043129 | 5.62 |
| 2 | Esquire Enclave Private Limited | 886201 | 4.78 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 886201 | 4.78 |
| | | | | 31-Mar-21 | | | 886201 | 4.78 |
| 3 | Limestone Properties Private Limited | 731963 | 3.95 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 731963 | 3.95 |
| | | | | 31-Mar-21 | | | 731963 | 3.95 |
| 4 | Praveen Kumar Agarwal HUF | 500000 | 2.70 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 500000 | 2.70 |
| | | | | 31-Mar-21 | | | 500000 | 2.70 |
| 5 | Natural Housing Private Limited | 480847 | 2.59 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 480847 | 2.59 |
| | | | | 31-Mar-21 | | | 480847 | 2.59 |
| 6 | | 278394 | 1.50 | 31-Mar-20 | | | | |

| | | | | | | | | |
|----|----------------------------------|--------|------|-----------|---|-----------|--------|------|
| | Safed Sales Private Limited | | | - | 0 | No Change | 278394 | 1.50 |
| | | | | 31-Mar-21 | | | 278394 | 1.50 |
| 7 | Praveen Kumar Agarwal | 200000 | 1.08 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 200000 | 1.08 |
| | | | | 31-Mar-21 | | | 200000 | 1.08 |
| 8 | Pinky Agarwal | 200000 | 1.08 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 200000 | 1.08 |
| | | | | 31-Mar-21 | | | 200000 | 1.08 |
| 9 | Comfort Dealcom Private Limited | 197064 | 1.06 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 197064 | 1.06 |
| | | | | 31-Mar-21 | | | 197064 | 1.06 |
| 10 | Class Commercial Private Limited | 184243 | 0.99 | 31-Mar-20 | | | | |
| | | | | - | 0 | No Change | 184243 | 0.99 |
| | | | | 31-Mar-21 | | | 184243 | 0.99 |

(v) Shareholding of Directors and Key Managerial Personnel:

| Sl. No. | Name of Directors and KMP | Shareholding at the beginning of the year (1 st April, 2020) | | Cumulative Shareholding during the year (31 st March, 2021) | |
|---------|--|--|----------------------------------|---|----------------------------------|
| | | No. of Shares | % of total Shares of the company | No. of Shares | % of total Shares of the company |
| 1. | Mr. Krishan Khadaria, Promoter Director | | | | |
| | At the beginning of the year | 12,78,519 | 6.89 | 12,78,519 | 6.89 |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | 12,78,519 | 6.89 |
| 2. | Mrs. Asha Khadaria, Promoter Director | | | | |

| | | | | | |
|----|--|----------|------|----------|------|
| | At the beginning of the year | 7,28,580 | 3.93 | 7,28,580 | 3.93 |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | 7,28,580 | 3.93 |
| 3. | Mr. Narendra Ramkishor Gupta, Independent Director | | | | |
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | - | - |
| 4. | Mr. Manoj Bhatia, Independent Director | | | | |
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | - | - |
| 5. | Ms. Niraali Santosh Thingalaya, Independent Director | | | | |
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | - | - |
| 6. | *Mr. Mohit Khadaria, Additional Promoter Director | | | | |
| | At the beginning of the year | - | - | 7000 | 0.04 |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | 7000 | 0.04 |
| 7. | **Mr. Naresh Kedia, Chief Financial Officer | | | | |

| | | | | | |
|----|--|---|---|---|---|
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | - | - |
| 8. | ***Mr. Rajesh Agrawal | | | | |
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | | | - | - |
| 9. | *Ms. Khushboo Gupta, Company Secretary | | | | |
| | At the beginning of the year | - | - | - | - |
| | increase/ decrease in shareholding during the year | - | - | - | - |
| | At the end of the year | - | - | - | - |

* Mr. Mohit Khadaria was appointed as Additional Promoter Director on 30th November, 2020.

** Mr. Naresh Kedia has been resigned from the post of Chief Financial Officer on 30th November, 2020.

*** Mr. Rajesh Agrawal has been appointed as Chief Financial Officer on 25th January, 2021.

V. INDEBTEDNESS:

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

(Amount in Rs.)

| | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|---|----------------------------|-----------------|-------------------------------|
| Indebtedness at the beginning of the financial year | | | | |
| i) Principal Amount | 1,22,04,298 | 5,30,91,084 | - | 6,52,95,382 |

| | | | | |
|---|--------------------|--------------------|----------|--------------------|
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | - | - | - | - |
| Total (i+ii+iii) | 1,22,04,298 | 5,30,91,084 | - | 6,52,95,382 |
| Change in Indebtedness during the financial year | | | | |
| ▪ Addition | 25,00,000 | 20,460,720 | - | 22,960,720 |
| ▪ Reduction | 12,37,979 | 60,92,735 | - | 73,30,714 |
| Net Change | (15.074) | 1,43,67,985 | - | 1,56,30,006 |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 1,34,66,319 | 6,74,59,069 | - | 80,925,388 |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | - | - | - | - |
| Total (i+ii+iii) | 1,34,66,319 | 6,74,59,069 | - | 80,925,388 |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in Rs.)

| Sl. No. | Particulars of Remuneration | Name of MD | Total |
|---------|--|-----------------------|-----------------|
| | | *Mr. Krishan Khadaria | Amount in Lakhs |
| 1. | Gross salary | | |
| | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 4,65,000 | 4,65,000 |
| | (b) Value of perquisites u/s. 17(2) Income-tax Act, 1961 | - | - |
| | (c) Profits in lieu of salary under section 17(3) Income tax Act, 1961 | - | - |

| | | | |
|----|--|-----------------|-----------------|
| | | | - |
| 2. | Stock Option | - | - |
| 3. | Sweat Equity | - | - |
| 4. | Commission - as % of profit - others, specify... | - | - |
| | Others, please specify | - | - |
| | Total (A) | 4,65,000 | 4,65,000 |
| | Ceiling as per the Act | | |

*Due to COVID-19 pandemic, the Company's staff faced salary deduction. Accordingly, the salary of Mr. Krishan Khadaria was reduced to Rs. 25,000/- for the month of April-August 2020/-, Rs. 40,000/- for the month of September 2020. From 1st October, 2020, the salary of Rs. 50,000/- was paid to him.

B. Remuneration to Other Directors:

1. Independent Directors: NIL
2. Other Non-Executive Directors: NIL

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/ WTD
(Amount in Rs.)**

| Sl. No. | Particulars of Remuneration | Key Managerial Personnel | | | |
|---------|---|--|-----------------------|-------------------------|-----------|
| | | Company Secretary (Khushboo Gupta) | CFO (Naresh Kedia) | CFO (Rajesh Agrawal) | Total |
| 1. | Gross salary | | | | |
| | (a) Salary as per Provisions contained in section 17(1) of the Income-tax Act, 1961 | 3,61,085 | 3,22,329 | 3,20,000 | 10,03,414 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | - | - | - | - |
| | | - | - | - | - |

| | | | | | |
|----|---|-----------------|-----------------|-----------------|------------------|
| | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | | | | |
| 2. | Stock Option | - | - | - | - |
| 3. | Sweat Equity | - | - | - | - |
| 4. | Commission - as % of profit - others, specify... | - | - | - | - |
| 5. | Others, please specify | - | - | - | - |
| | Total (A) | 3,61,085 | 3,22,329 | 3,20,000 | 10,03,414 |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Rs. 4,00,000/- imposed on the Company by SEBI vide Adjudication Order No. Order/SR/SM/2020-21/9348 dated September 30, 2020, for violation of Regulations 13(6) of PIT Regulations, 1992 r/w regulation 12(2) of PIT Regulations, 2015 made by Company in the financial year 2011-12.

Vide Adjudication Order No. Order/SR/SM/2020-21/9347 dated September 30, 2020, the SEBI has imposed a penalty of Rs. 3,00,000/- on Director, Mr. Krishan Kumar Khadaria for the violations of Regulations 13(1) of PIT Regulations, 1992 and Regulations 13(3),13(4) &13(4A) r/w 13(5) of PIT Regulations, 1992 read alongwith Regulation 12(2) of PIT Regulations, 2015 and Regulations 29(1) and 29(2) r/w 29(3) of the SAST Regulations committed by the said Director in the financial year 2011-12.

Vide Adjudication Order No. Order/SR/SM/2020-21/9346, dated September 30, 2020, the SEBI has imposed a penalty of Rs. 3,00,000/- on Director, Mrs. Asha Khadaria for the violations of Regulations 13(4) & 13(4A) r/w 13(5) of PIT Regulations, 1992 r/w Regulation12(2) of PIT Regulations, 2015 committed by the by the said Director in the financial year 2011-12.

All aforesaid penalties was required to be paid within 45 days from the receipt of the aforesaid orders. Accordingly, the Company, Mr. Krishan Kumar Khadaria and Mrs. Asha Khadaria made the penalty payment within the provided deadline.

During the year under review, no punishment or compounding of offence was made against the Company.

